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Intellectual Property Practice

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# OFFICIAL BUSINESS - AFTER FINAL FICAL

Date:

June 23, 2004

To:

Examiner: Jaydi A. Aguirrechea

Art Unit: 2834

Fax No.:

703-872-9306

From:

Stephen P. Burr

Subject:

U.S. Application Ser. No. 09/997,997

Filed: November 30, 2001

Conf. No.: 3908

Title: A SUBSTRATE USABLE FOR AN ACOUSTIC SURFACE WAVE DEVICE, A METHOD FOR FABRICATING THE SAME SUBSTRATE AND AN ACQUSTIC SURFACE WAVE DEVICE HAVING THE SAME

SUBSTRATE

Our Ref.:

782\_200

You should receive \_\_12\_\_ page(s) including this cover sheet. If you do not receive all pages, please call (315) 233-8300.

## CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following paper(s) is/are being facsimile transmitted to 703-872-9306 at the Patent and Trademark Office on June 23, 2004:

Amendment Transmittal (in duplicate)

2\_pages

Amendment After Final Rejection (pages 1-9)

9\_ pages

This Cover Sheet

1\_page

Total Pages

\_12\_

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#### **BURR & BROWN**

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	PATENT AMENDMENT TRANSMITTAL (Provisions of 37 CFR 1.136 Apply)										
Application	Number	,	09/997,997		F	iling Date		November 30, 2001			
Group Art 1	rt Unit		2834		E	xaminer N	ame	Jaydi A. Aguirrechea			
Confirmation	on No.		3908		А	ttorney Do	cket No.	782_200			
Inventor(s)			Tomohiko SHIBATA, Yukinori NAKAMURA and Mitsuhiro TANAKA								
Invention:	A SUBSTRATE USABLE FOR AN ACOUSTIC SURFACE WAVE DEVICE, A METHOD FOR FABRICATING THE SAME SUBSTRATE AND AN ACOUSTIC SURFACE WAVE DEVICE HAVING THE SAME SUBSTRATE										
Transmitted herewith is an Amendment in the above-identified application. The fee has been calculated as follows:											
	CLAIMS AS AMENDED										
(1)		Claims F	(2) Remaining mendment	(3)	(4) Highest I Previous	Number	(5) No. of Extra Claims Present	(6) Rate (Large Entity)	(7) Additional Fee		
TOTAL CL	AIMS		4	MINUS	20	<u> </u>	0	\$18.00	\$00.00		
INDEP. CL	AIMS		2	MINUS	3		0	\$86.00	\$00.00		
			(	TOTAL ADDITIO	ONAL FE	E FOR	THIS AMENDMI	ENT	\$00.00		
Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.  This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application. The requested extension and appropriate non-small entity fee are as follows:  One Month (37 CFR 1.17(a)(1)											
Submitted	Ву:										
Name (Prin	y Type)	Stephen	P. Burr		Reg. No		2,970	Customer No.	025191		
	<u> </u>		4		Telephone (315) 233-83		315) 233-8300	Facsimile	(315) 233-8320		
Signature		1 X Joseph							June 23, 2004		
CERTIFICATION OF FACSIMILE TRANSMISSION  I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on June 23, 2004 date at 703-872-9306.  Janet M. Stevens											

	PATENT AMENDMENT TRANSMITTAL (Provisions of 37 CFR 1.136 Apply)										
Application	n Number	n Number 09/997,997			Filing	Date		November 30, 2001			
Group An	Unit	Unit 2834			Exam	iner N	arne	Jaydi A. Aguirrechea			
Confirmat	mation No.		3908		Attorney Docket No.			782_200			
Inventor(s	)	7	Tomohiko SHIBATA, Yukinori NAKAMURA and Mitsuhiro TANAKA								
Invention	A SUBSTRATE USABLE FOR AN ACOUSTIC SURFACE WAVE DEVICE, A METHOD I FABRICATING THE SAME SUBSTRATE AND AN ACOUSTIC SURFACE WAVE DEVICE HAVING THE SAME SUBSTRATE							3, A METHOD FOR E WAVE DEVICE			
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TOTAL C	LAIMS	4		MINUS	20		0	\$18.00	\$00.00		
INDEP. C	.∧IMS	2		MINUS	3		0	\$86.00	\$00.00		
			נ	OTAL ADDITIO	DNAL FEE	FOR	THIS AMENDMI	ENT	\$00.00		
				EXT	TENSION O	F TE	RM				
exte	made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.  This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application. The requested extension and appropriate non-small entity fee are as follows:  One Month (37 CFR 1.17(a)(1) \$110.00  Two Month (37 CFR 1.17(a)(2) \$420.00										
		•			OTAL FEE						
	Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount is reduced by one-half and the resulting fee is:										
					FEE PAYM	ENT					
□ Cha	Charge Deposit Account 50-1446 in the amount of \$. Enclosed is a duplicate copy of this sheet.  Please charge any fees which may be required, or credit any overpayment, to Deposit Account 50-1446.										
Name (Pri	<del>                                     </del>	Stephen P.	Burr	·· . ·	Reg. No.	3	2,970	Customer No.	025191		
	,,,,,		7		Telephone		315) 233-8300	Facsimile	(315) 233-8320		
Signature	Jan 1							Date	June 23, 2004		
CERTIFICATION OF FACSIMILE TRANSMISSION											
1 hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on June 23, 2004 date at 703-872-9306.											

Practitioner's Docket No.: 782\_200

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Tomohiko SHIBATA, Yukinori NAKAMURA and Mitsuhiro

TANAKA

Ser. No.: 09/997,997

Group Art Unit: 2834

Filed: November 30, 2001

Examiner: Jaydi A. Aguirrechea

Confirmation No.: 3908

Commissioner for Patents

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A SUBSTRATE USABLE FOR AN ACOUSTIC SURFACE WAVE DEVICE, A METHOD FOR FABRICATING THE SAME SUBSTRATE AND AN ACOUSTIC

SURFACE WAVE DEVICE HAVING THE SAME SUBSTRATE

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to 703-872-9306 in the Patent and Trademark Office on June 23, 2004.

lariet M. Stevens

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UN 2 3 2004

Alexandria, VA 22313-1450

### AMENDMENT AFTER FINAL REJECTION

Sir:

In response to the Office Action mailed March 23, 2004, please amend the above-

identified application as follows:

Amendments to the Specification begin on page 2 of this paper

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of

this paper.

Remarks/Arguments begin on page 5 of this paper.